

| FORM PTO 1449 (modified)<br>U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE |   |                   | ATTY DOCKET NO.<br><b>03500.014035</b>  | APPLICATION NO.<br><b>09/449,706</b> |             |                                 |
|--|---|-------------------|---|--------------------------------------|-------------|---------------------------------|
| O.I.P. LIST OF REFERENCES CITED BY APPLICANT(S)<br>(Use several sheets if necessary)   |   |                   | APPLICANT<br><b>TAKAFUMI MIZUNO</b>     |                                      |             |                                 |
|  |   |                   | FILING DATE<br><b>November 24, 1999</b> | GROUP<br><b>2176</b>                 |             |                                 |
| U.S. PATENT DOCUMENTS  |   |                   |   |                                      |             |                                 |
| # EXAMINER<br><i>MJ</i>  | DOCUMENT NUMBER   | DATE              | NAME                                    | CLASS                                | SUBCLASS    | FILING DATE IF APPROPRIATE      |
|  | <b>5,583,762</b>  | <b>12/10/96</b>   | <b>Shafer</b>                           | <b>395</b>                           | <b>794</b>  |                                 |
| <b>RECEIVED</b>  |   |                   |   |                                      |             |                                 |
| MAR 20 2003  |   |                   |   |                                      |             |                                 |
| Technology Center 2100   |   |                   |   |                                      |             |                                 |
| FOREIGN PATENT DOCUMENTS   |   |                   |   |                                      |             |                                 |
|  | DOCUMENT NUMBER   | DATE              | COUNTRY                                 | CLASS                                | SUBCLASS    | TRANSLATION YES/NO/ OR ABSTRACT |
| <i>MJ</i>  | <b>GB</b>   | <b>2 307 571A</b> | <b>05/28/97</b>                         | <b>Great Britain</b>                 | <b>G06F</b> | <b>17/27</b>                    |
| OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)               |   |                   |   |                                      |             |                                 |
| <i>MJ</i>  | <b>Frank, Ulrich, "Enhancing Object-Oriented Modeling With Concepts to Integrate Electronic Documents"; System Sciences, 1997, Proceedings of the 13th Hawaii Int'l. Conference, Wailea, HI, USA, Jan. 7-10, 1997; pgs, 127-136</b> |                   |   |                                      |             |                                 |
| <i>MJ</i>  | <b>Kay, M.H., "DTDGen - XML DTD Generator" Internet Citation, XP002225792, from Internet: &lt;url:htt://xml.coverpages.org/dtdgenDoc980505.html&gt;</b>   |                   |   |                                      |             |                                 |
| <i>MJ</i>  | <b>Klein B. et al., "Error Tolerant Document Structure Analysis"; 1997 IEEE Int'l. Forum on Research &amp; Technology Advances, Washington, DC, USA, May 1997, pages 116-127</b>  |                   |   |                                      |             |                                 |
| <i>MJ</i>  | <b>Warmer, J. et al., "Processing SGML Documents"; Electronic Publishing, Wiley, Chichester, GB, vol. 4, no. 1, March 1, 1991, pages 3-26.</b>  |                   |   |                                      |             |                                 |
| <i>MJ</i>  | <b>Raggett D., "Clean Up Your Web Pages With HP's HTML Tidy"; Computer Networks &amp; ISDN Systems, North Holland Pub. Amsterdam, NL, vol. 30, no. 1-7, April 1, 1998 pages. 730-732</b>  |                   |   |                                      |             |                                 |
| EXAMINER<br><i>Matthew Ludwig</i>  |   |                   | DATE CONSIDERED<br><b>4/14/03</b>       |                                      |             |                                 |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 1 of 1

Applicant's undersigned attorney may be reached in our New York office by telephone at (212) 218-2100. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

  
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